## JC10 Rec'd PCT/PTO 0 1 APR 2005

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DESIGNATED/ELECTED OFFICE (DO/EO/US)	948-003.002						
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)						
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
PCT/EP2003/010863 October 1, 2003	October 4, 2002						
Method for Microstructuring by Means of Locally Selective Sublimation							
APPLICANT(S) FOR DO/EO/US  Eike BECKER et al.							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5) (6), (9) and (21) indicated below.							
4. The US has been elected (Article 31).							
A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. is attached hereto (required only if not communicated by the International Bureau).							
b. As been communicated by the International Bureau.							
is not required, as the application was filed in the United States Receiving Office (RO/US).							
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
a. is attached hereto.							
has been previously submitted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto (required only if not communicated by the International Bureau).							
b. have been communicated by the International Bureau.							
c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. have not been made and will not be made.							
An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
And oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
items 11 to 20 below concern document(s) or information included:							
An Information Disclosure Statement under 37 CFR 1.97 and 1.98. PTO-1449 and cited references							
Ap assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
A preliminary amendment.							
An Application Data Sheet under 37 CFR 1.76.							
15. A substitute specification.	A substitute specification.						
A power of attorney and/or change of address letter.							
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13	A computer-readable form of the sequence listing in accordance with PCT Rule 13/er.2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4)	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
Assecond copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20. Other items or information: Int'l Search Report; IPER							

Inis collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete use including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450./

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## JC06 Rec'd PCT/PTO 01 APR 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR.1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER					
	To be a 19 2 30 2 5 PCT/EP2003/010863		948-003.002				
	ng fees are submitted:						
a) Basic national fee\$300.00		\$ 300.00					
b) Examination fee\$200.00		\$ 200.00					
c) Search fee\$500.00			\$ 500.00	ļ			
	TOTAL OF ABOVE CAL	LCULATION	S =	\$1000.00	\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each							
additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra sheets		Number of each additional 50 or fraction RATE thereof (round up to a whole number)				
- 100 =	/50 =			× \$250.00	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		
CLAIMS	NUMBER FIL	ED	NUMBER EXTRA	RATE	\$		
Total claims	5	- 20 =	0	× \$50.00	\$		
Independent dai	ms 1	- 3 =	0	x \$200.00	\$		
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$		
			TOTAL OF ABOVE CAL	CULATIONS =	\$1,000.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							
SUBTOTAL =		\$1,000.00					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$				
TOTAL NATIONAL FEE =			\$1,000.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$				
TOTAL FEES ENCLOSED =					\$1,000.00		
		Amount to be refunded:	\$				
			Amount to be charged:	\$			
a. A check in the amount of \$ 1,000.00 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 23-0042. A duplicate copy of this sheet is enclosed.							
DEFICIENCIES ONLY  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Alfred A. Fressola							
Ware, Fressola, Van Der Sluys & Adolphson SIGNATURE							
, , , , , , , , , , , , , , , , , , , ,					A. Fressola		
P.O. Box 224, 755 Main St. NAME							
Monroe,	CT 06468-0224		-	27,550			
REGISTRATION NUMBER							

FORM PTO-1390 (REV. 12-2004)

## IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

REGARDING THE INTERNATIONAL

DOCKET OR REFERENCE NUMBER: 948-003.002

APPLICATION OF: Eike BECKER, Dirk HEITHECKER, Dirk MEZZDORF, Hans Hermann JOHANNES, Thomas DOBBERTIN, Daniel SCHNEIDER and Wolfgang KOWALSKY

ENTITLED: Method for Microstructuring by Means of Locally Selective Sublimation

Mail Stop PCT
To The United States Designated/Elected Office (DO/EO/US)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

## NATIONAL STAGE EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EV 595351431 US

Date of Deposit: April 1, 2005

I hereby certify that the following attached papers or fee

Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US)

**Preliminary Amendment** 

Copy of International Application together with English translation and Certificate of Translation

Information Disclosure Statement, PTO-Form 1449 and references

International Search Report

IPER together with English translation of amended claims

Check for \$1,000.00 for payment of fees

Self addressed stamped return postcard

Are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**Lissette Ramos** 

fritted name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Each paper letter or communication relating to an international application during the international stage for which a date of filing is to be obtained as of the date of mailing must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventors (s) and the title of the invention.

The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter or a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is place.

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